

FORM PTO-1449

**INFORMATION DISCLOSURE STATEMENT**

ATTY. DOCKET  
33216M078

SERIAL NO.  
10/725,940

APPLICANT:  
Chiyoko Matsumi, et al.

FILING DATE  
December 3, 2003

GROUP ART UNIT  
2183

MA 24 2004

PTENT & TRADEMARK OFFICE

**U.S. PATENT DOCUMENTS**

*Examiner's Initials		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE, IF APPROPRIATE
/HS/	AA	2003/103604	6/6/02	Kato, et al.			Copending application
	AB	2002/164152	11/7/02	Kato, et al.			Copending application
	AC	6,285,826	9/4/01	Murase, et al.			
	AD	6,400,893	6/4/02	Murase, et al.			
	AE	6,424,797	7/23/02	Murase, et al.			
	AF	2001/026504	10/4/01	Nonaka, et al.			Copending application
	AG	6,408,301	6/18/02	Patton et al.			
	AH						

**FOREIGN PATENT DOCUMENTS**

*Examiner's Initials		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
							YES	NO
/HS/	AI	EP 1 198 132	4/17/02	EPO				
	AJ	EP 1 115 118	7/11/01	EPO				
	AK	EP 1 150 297	10/31/01	EPO				
	AL							
	AM							
	AN							

**OTHER INFORMATION** (Including Author, Title, Date, Pertinent Pages, Etc.)

AO	
AP	
EXAMINER:  /Haoshian Shih/	DATE CONSIDERED:  04/25/2007
<p>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>	



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	APPLICANT: Chiyoko Matsumi, et al.	
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	AE						
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/HS/	AG	11-317025	11/16/99	Japan			Abstract and partial translation	
/HS/	AH	2000-235780	8/29/00	Japan			Abstract and partial translation	
	AI							
	AJ							
	AL							
	AM							
	AN							

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**SHEET 1 of 1**

**Complete If Known**

<b>Application Number</b>	<b>10/725,940</b>
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<b>Filing Date</b>	<b>December 3, 2003</b>
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First Named Inventor	Chiyoiko Matsumi, et al.
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Art Unit	2173
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Examiner Name	John W. Cabeca
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Attorney Docket No. MTS-3582US

## U.S. PATENT DOCUMENTS

## FOREIGN PATENT DOCUMENTS

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

SHEET 1 of 4

**Complete If Known**

Application Number	10/725,940
Filing Date	December 3, 2003
First Named Inventor	C. MATSUMI
Art Unit	2173
Examiner Name	John W. Cabeca
Attorney Docket No.	MTS-3582US

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
/HS/		US-6,006,234	12/21/1999	GOVINDARAJAN et al.	
		US 6,351,442	02-26-2002	TAGAWA, et al.	
		US-6,215,746 B1	04-10-2001	ANDO ET AL.	
		US 6,442,333	08-27-2002	IZAWA	
		US 6,282,365 B1 (with counterpart JP 3098237)	08/28/2001	GOTOH ET AL.	
		US 6,292,625 B1 (with counterpart JP 3098237)	09/18/2001	GOTOH ET AL.	
		US 6,314,235 B1 (with counterpart JP 3098237)	11/06/2001	GOTOH ET AL.	
		US 6,574,420 B1 (with counterpart JP 3098237)	06/03/2003	GOTOH ET AL.	
		US 2003/0194216 A1 (with counterpart JP 3098237)	10-16-2003	GOTOH ET AL.	
		US 2003/0194217 A1 (with counterpart JP 3098237)	10-16-2003	GOTOH ET AL.	
		US 2003/0194218 A1 (with counterpart JP 3098237)	10-16-2003	GOTOH ET AL.	
		US 2003/0206721 A1 (with counterpart JP 3098237)	11-06-2003	GOTOH ET AL.	
		US 2002/034130	03-21-2002	TAGAWA et al.	
		US 2003/174593	09-18-2003	TAGAWA et al.	
		US 2002/0049731	04-25-2002	KOTANI	

Examiner Signature	/Haoshian Shih/	Date Considered	04/25/2007
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<sup>1</sup>Applicant's unique citation designation number (optional).

<sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

<sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

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# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

SHEET 2 of 4

## **Complete if Known**

Application Number	10/725,940
Filing Date	December 3, 2003
First Named Inventor	C. MATSUMI
Art Unit	2173
Examiner Name	John W. Cabece
Attorney Docket No.	MTS-3582US

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
/HS/		EP 0 957 487	11-17-1999	Matsushita Electric Industrial Co., Ltd.		
		EP 0 962 929	12-08-1999	Matsushita Electric Industrial Co., Ltd.		
		EP 0 926 680	06-30-1999	Pioneer Electronic Corporation		
		EP 1 411 520	04-21-2004	Microsoft Corporation		<input type="checkbox"/>
		EP 0 949 622	10-13-1999	Pioneer Electronic Corporation		
		EP 1 248 259	10-09-2002	SAMSUNG ELECTRONICS CO., LTD.		<input type="checkbox"/>

Examiner Signature	/Haoshian Shih/	Date Considered	04/25/2007
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/725,940
Filing Date	December 3, 2003
First Named Inventor	C. MATSUMI
Art Unit	2173
Examiner Name	John W. Cabeca
Attorney Docket No.	MTS-3582US

SHEET 3 of 4

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number - Kind Code <sup>2</sup> (if known)	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-			
		US-			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
/HS/		JP 3098237 (with English abstract from JP 2000-322876 and with counterparts US 6,282,365, US 6,292,625, US 6,314,235, US 6,574,420, US 2003/0194216, US 2003/0194217, US 2003/0194218, and US 2003/0206721)	08-11-2000	Matsushita Electric Industrial Co., Ltd.		
		JP 11 297006 (English abstract only)	10-29-1999	Sanyo Electric Co Ltd		<input type="checkbox"/>
		JP 2004-152359 (English abstract only)	05-27-2004	Sharp Corp		<input type="checkbox"/>
		JP 3297333 (with English Abstract from JP 09-198778)	04-12-2002	INTERNATL BUSINESS MACH CORP		
		JP 2002-313070 (with English Abstract)	10-25-2002	MATSUSHITA ELEC IND CO LTD		<input type="checkbox"/>
		WO 01/28222	4-19-2001	Perception Digital Technology Limited		<input type="checkbox"/>
		WO 02/41319	05-23-2002	Hancock		<input type="checkbox"/>
		WO 2003/054870 A3	07-03-2003	Konin-Klijke Philips Electronics N.V.		<input type="checkbox"/>
		WO 2004/027774 A1	04-01-2004	Koninklijke Philips Electronics N.V.		<input type="checkbox"/>
		WO 2004/008460	01-22-2004	Apple Computer Inc.		<input type="checkbox"/>
Examiner Signature	/Haoshian Shih/			Date Considered	04/25/2007	

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SHEET 4 of 4

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Application Number	10/725,940
Filing Date	December 3, 2003
First Named Inventor	C. MATSUMI
Art Unit	2173
Examiner Name	John W. Cabeza
Attorney Docket No.	MTS-3582US

**NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
/HS/		ALESIS: "MASTERLINK REFERENCE MANUAL", [Online], 2001, ALESIS, Retrieved from the Internet: URL:web.archive.org/web/20000902235408/www.alesis.com/downloads/manuals/ml9600_man.pdf [retrieved on 2005-03-16]	<input type="checkbox"/>
/HS/		Apple Computer, Inc., "Audio Interchange File Format version 1.3 "AIFF", 4 January 1989, XP0002274283, pp. 1-26	
			<input type="checkbox"/>
			<input type="checkbox"/>
			<input type="checkbox"/>
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Examiner Signature	/Haoshian Shih/	Date Considered	04/25/2007
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